

1st OCCUR Annual Conference

"The Challenge for Utility Regulators in the Caribbean"
Port of Spain, Trinidad & Tobago

Radio regulation Framework

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Topics Covered

- International Activities
- Spectrum Management Process
- National Activities
- Regulatory Bodies Objectives
- Example of an SM process
- Conclusions

Spectrum Management Framework

Objective of Presentation:

To provide participants with an understanding of the necessary building blocks for National Spectrum Management.

Spectrum Management Principals

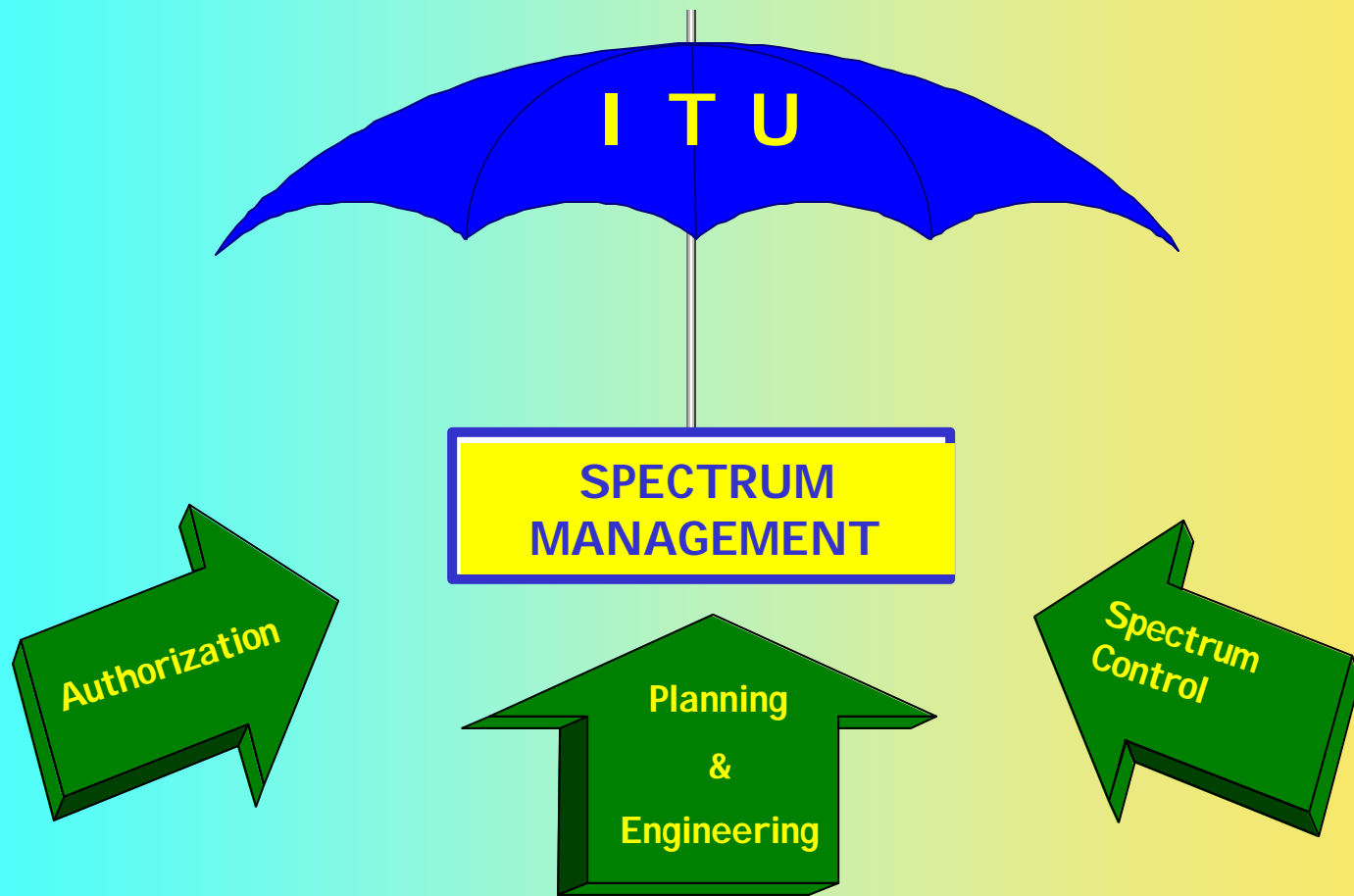
**Key objective of Radio
Spectrum Management is to
ensure orderly and timely
access to the radio
spectrum to the benefit of
citizens of the country.**

Wireless World

- ✓ Moving toward a wireless communication environment
- ✓ WRC2003 identified around 455 MHz for RLAN
- ✓ The need for effective means to manage the radio spectrum

Regulatory Bodies Key Objectives/Challenges

- ✓ Stimulate increased access to telecommunications services
- ✓ Provide service to the greatest possible % of population
- ✓ Stimulate services, new technologies, at affordable prices
- ✓ Stimulate competition
- ✓ Stimulate National, Regional, and Global Markets
- ✓ Eliminate unnecessary regulations
- ✓ Recover fair market value for access to spectrum, when appropriate

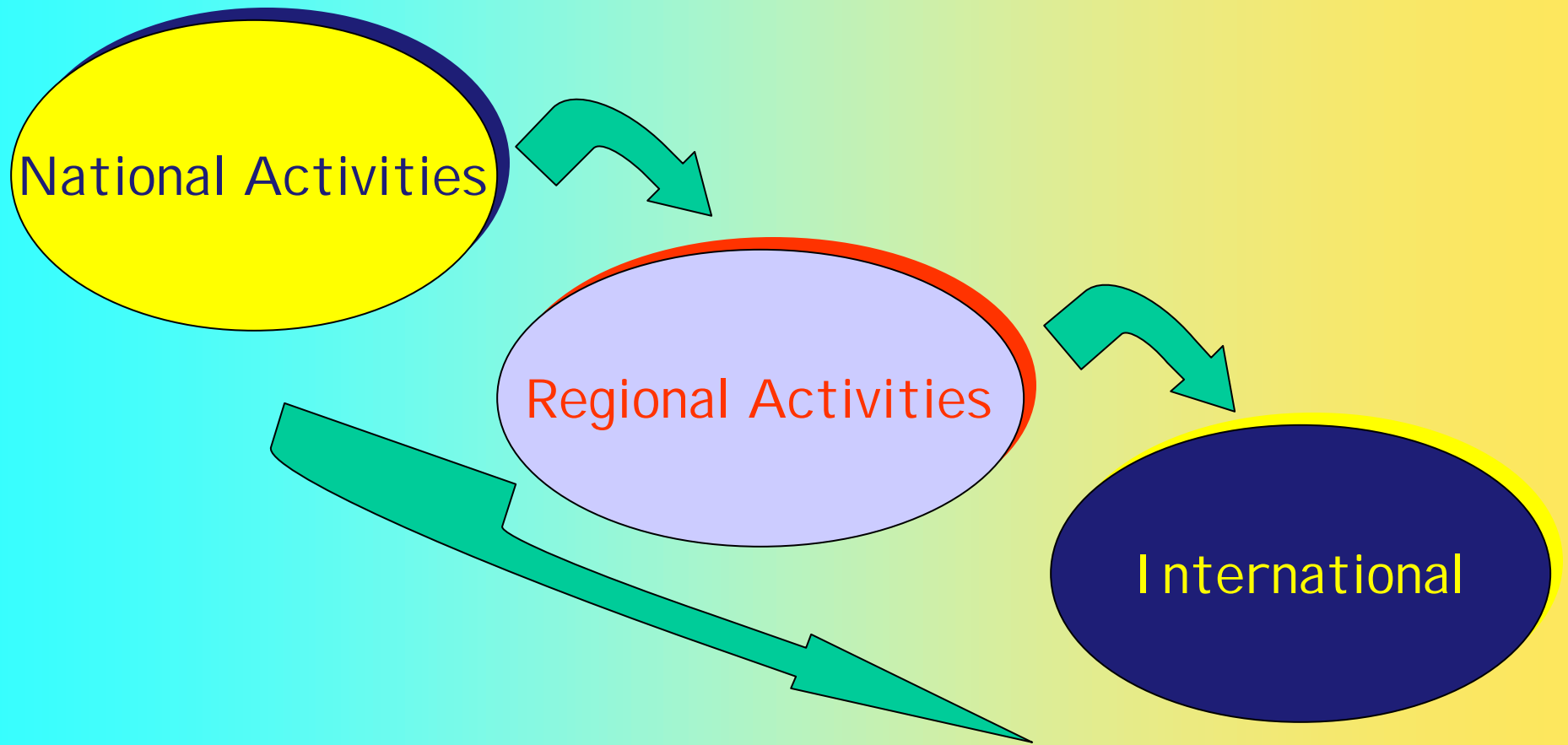


International Telecommunication Union

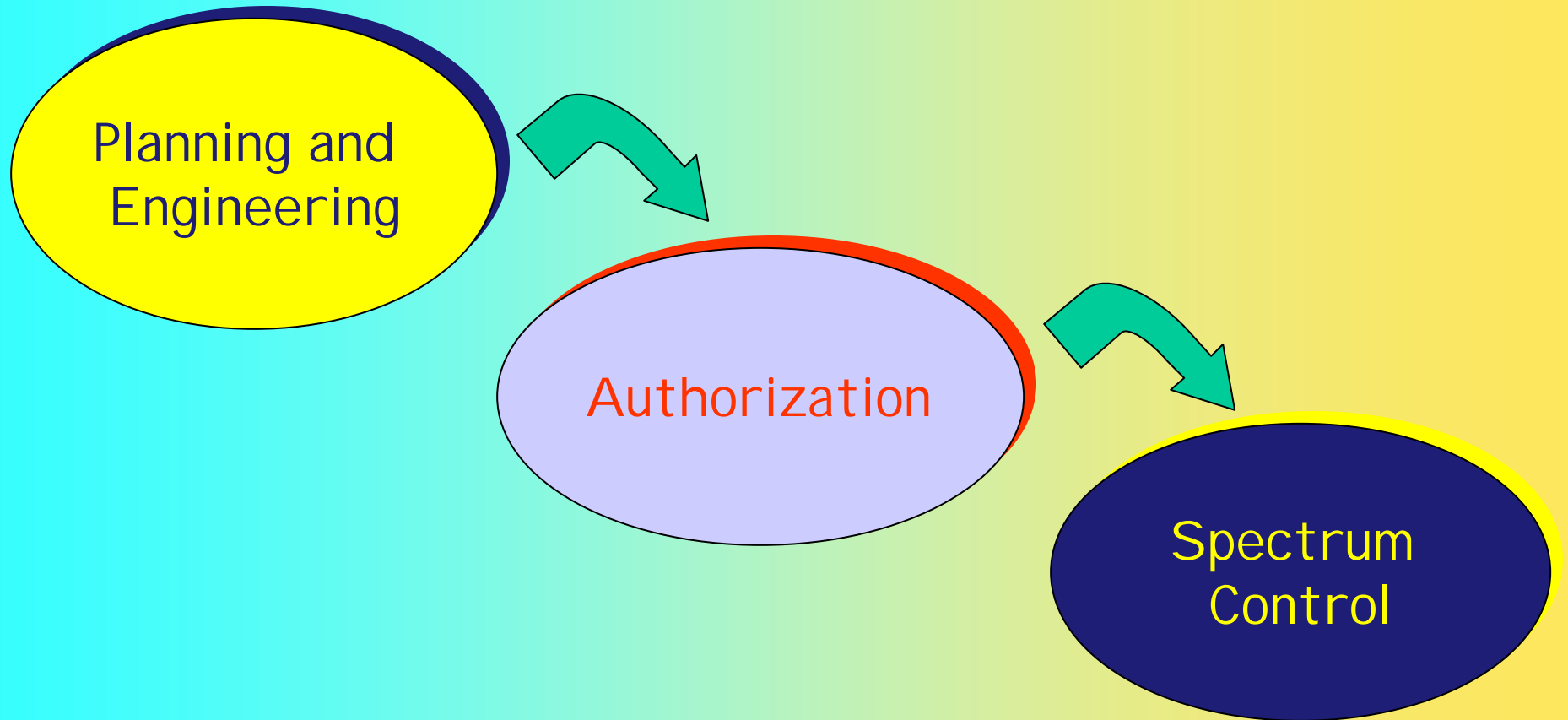


- ✓ **ITU Establishes Rules**
- ✓ **National Systems Must Comply**
- ✓ **Technical Rules : ITU-R Study Groups**
- ✓ **Band Allocations : World Radio Conferences**
- ✓ **Assignments : MIFR**
- ✓ **Radio Regulation Board Activities**

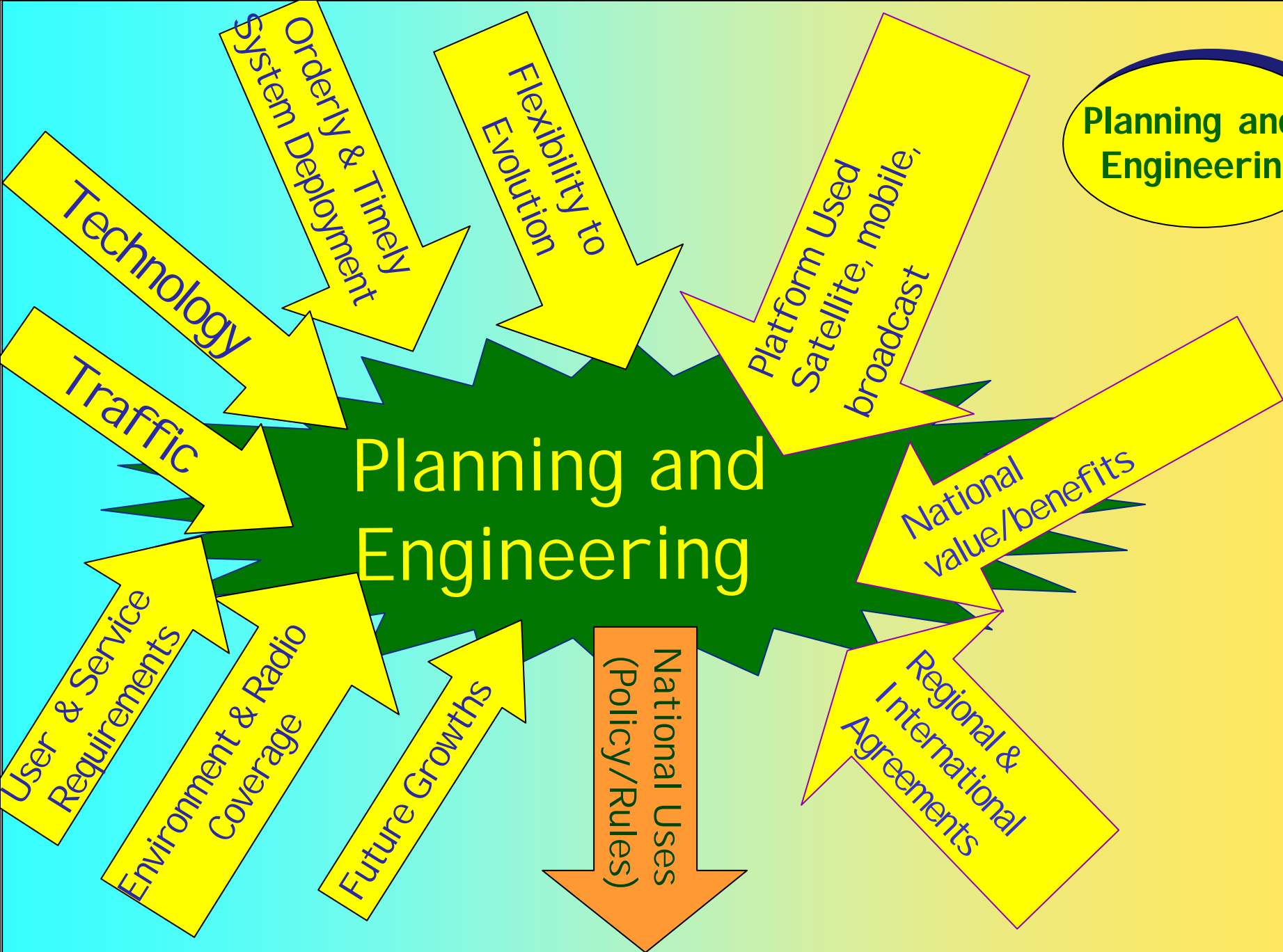
National/Regional/International



Spectrum Managements Process



Planning and Engineering



Spectrum Managements Process

Planning and
Engineering

- ✓ Requirements for Services
- ✓ Technology Evaluation
- ✓ Amount of Spectrum
- ✓ Sharing Studies
- ✓ Technical Standard

Spectrum Managements Process

Planning and
Engineering

- ✓ Channelling Plans
- ✓ Participation in International Activities
 - View at Regional bodies
 - Views at ITU and other bodies (WRC)
- ✓ Negotiating Regional and International Agreements

Spectrum Managements Process

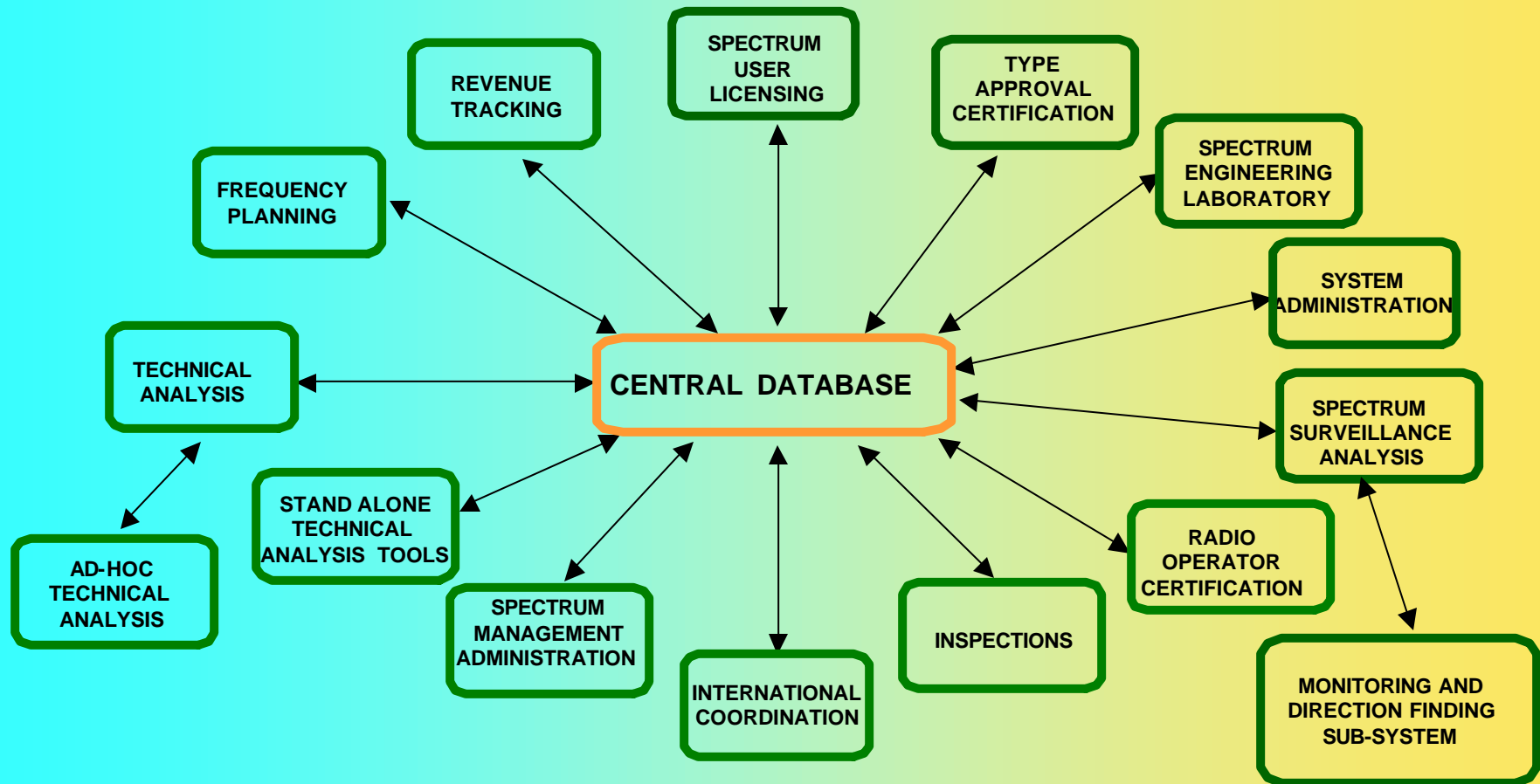
Planning and
Engineering

Other Activities

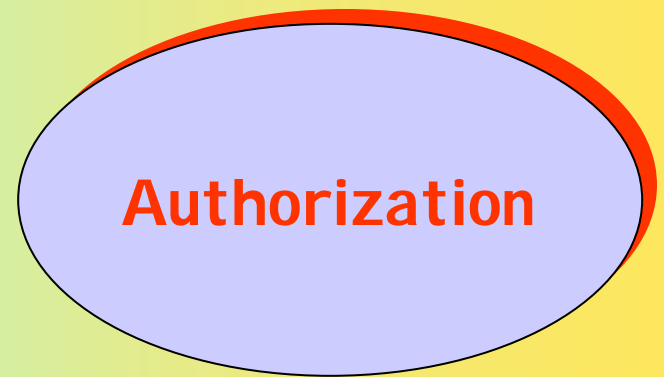
- ✓ Complex Interference Resolution
- ✓ Spectrum Management Tools
 - Monitoring systems
 - Computer Systems

Spectrum Management Sub-systems

Planning and
Engineering



Spectrum Managements process



- ✓ **Licensing**
 - First come first served
 - Competitive (beauty Contest, Auction)
 - License Exempt
- ✓ **Coordination**
 - Domestic
 - Regional & International
- ✓ **Certification**
- ✓ **Fees**

Spectrum Managements Process

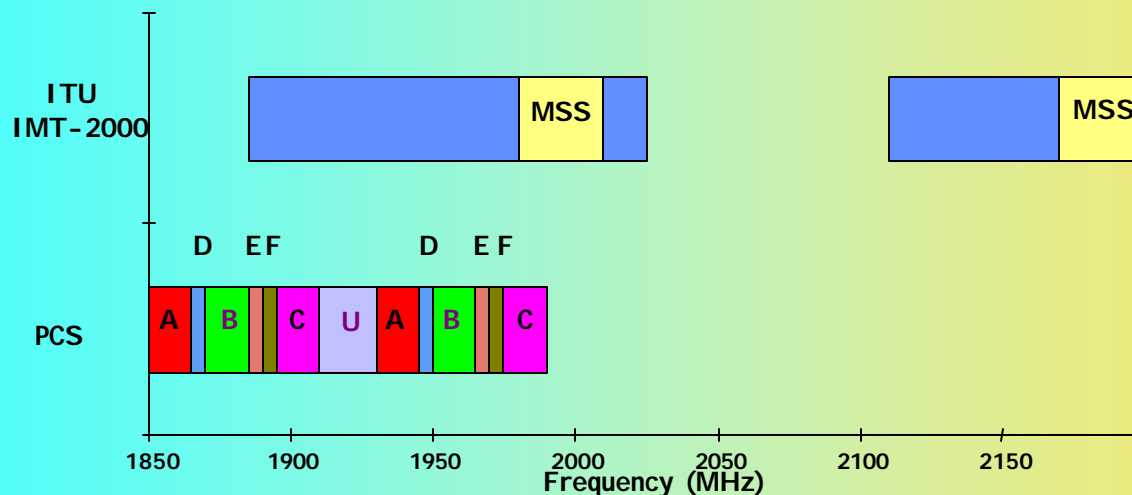
Spectrum
Control

-
- ✓ **Monitoring**
 - ✓ **Violations / Penalties**
 - ✓ **Interference Investigation**
 - ✓ **International Issues**

Example of New Band Allocation

- ✓ Requirements was identified in late 80's
- ✓ Contributions submitted to ITU-R to identify new spectrum for future use
- ✓ WARC'92 identified bands for IMT-2000
- ✓ 1995 new policy on PCS

PCS Band in Canada



Conclusions

- ✓ Demand on the radio spectrum will continue to climb in the future.
- ✓ Technology development and trends have been aimed at improving spectrum utilization efficiency
- ✓ Higher traffic carrying capacity and greater choice in applications is the trend
- ✓ Open industry/regulator cooperation would result in more efficient and effective planning of the radio spectrum
- ✓ Effective Spectrum Management leads to efficient use of the spectrum, and sound national telecommunications infrastructure
- ✓ Spectrum must be Considered as a National Resource to be used Wisely in Enhancing the Economic, Health, Education and Welfare of the Nation being Served.

Thank you For your Attention

**Dr. Bob McCaughern,
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